

02/05/02
P21497.P01
1130 U.S. PTOUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P21497 Total Pages

Inventor(s) or Application Identifier
Kiyonori SEKIGUCHITitle: BACKUP GATEWAY APPARATUS AND HOME
NETWORK SYSTEMjc997 U.S. PTO
10/062445

02/05/02

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

| APPLICATION ELEMENTS | | ACCOMPANYING APPLICATION PARTS |
|--|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 12] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 5]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p> | | <p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) ✓</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published 3</p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: MATSUSHITA GRAPHIC COMMUNICATION SYSTEMS, Inc. of Tokyo, JAPAN</p> <p>19. <input type="checkbox"/> Other: _____ _____ _____ _____</p> |
| <p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. / , filed _____ <i>Prior application information: Examiner: _____ Group Art Unit: _____</i> </p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a continuation-in-part, continuation, divisional, of Application No. / , filed _____</p> | | |

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
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Date

 Signature
 Bruce H. Bernstein, Reg. No. 29,027
 Typed or Printed Name


 07055

PATENT TRADEMARK OFFICE

FEE TRANSMITTAL

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | K. SEKIGUCHI |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |

TOTAL AMOUNT OF PAYMENT (\$780.00)

Attorney Docket Number P21497

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future application requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 10/01/01)

F. FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740 | 201 370 | Utility filing fee | 740 |
| 105 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$ 740)

2. CLAIMS

| Total Claims | -20= | Extra <u>0</u> | Fee from below <u>18</u> | = | Fee Paid <u>0</u> |
|---------------------------|------|----------------|--------------------------|--------------|-------------------|
| Independent 3 | -3= | <u>0</u> | <u>84</u> | = | <u>0</u> |
| Claims | | | | | |
| Multiple Dependent Claims | | | | x <u>280</u> | = <u>0</u> |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim |
| 109 84 | 209 42 | Reissue independent claims over original patent |
| 110 18 | 210 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR Prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for response within 1st month | |
| 116 400 | 216 200 | Extension for response within 2nd month | |
| 117 920 | 217 460 | Extension for response within 3rd month | |
| 118 1,440 | 218 720 | Extension for response within 4th month | |
| 128 1,960 | 228 980 | Extension for response within 5th month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive unavoidably abandoned application | |
| 141 1,280 | 241 640 | Petition to revive unintentionally abandoned application | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Petitions related to provisional applications | |
| 126 180 | 126 180 | Submission of IDS | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| | | Other fee (specify) | |
| | | Other fee (specify) | |

SUBTOTAL (3) (\$ 40)

*Reduced by Basic Filing Fee paid

| SUBMITTED BY | | | | Complete (if applicable) | |
|-----------------------|--|--------------------|--------|--------------------------|--------|
| Typed or Printed Name | | Bruce H. Bernstein | | Reg. Number | 29,027 |
| Signature | <i>Leslie J. Bernstein Reg. No. 33,329</i> | Date | 2/5/02 | Deposit Account User ID | |